

This Page Is Inserted by IFW Operations
and is not a part of the Official Record

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

IMAGES ARE BEST AVAILABLE COPY.

**As rescanning documents *will not* correct images,
please do not report the images to the
Image Problem Mailbox.**

DECLARATION - U.S.A Application

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am an original, first and joint inventor for which a patent is sought on the invention entitled 3-(ARYLAMINO)METHYLENE-1,3-DIHYDRO-2H-INDOL-2-ONES AS KINASE INHIBITORS

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, Section 1.56(a).

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application(s) in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

<u>Application No.</u>	<u>Filing Date</u>
60/325,819	9/27/2001
60/325,815	9/27/2001

I hereby appoint Robert Baran, Registration No. 25,806, Martin A. Voet, Registration No. 25,208; Carlos A. Fisher; Registration No. 36,510; Stephen Donovan, Registration No. 33,433 and Brent A. Johnson, Registration No. 51,851 as attorneys to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

SEND CORRESPONDENCE TO AND DIRECT TELEPHONE CALLS TO:

Robert J. Baran (T2-7H)
ALLERGAN, INC.
Legal Department
2525 Dupont Drive
Irvine, CA 92612
Telephone: (714) 246-4669

Facsimile: (714) 246-4249

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

FULL NAME OF INVENTOR:			
First Name: Steven	Initial W.	Last Name Andrews	
RESIDENCE & CITIZENSHIP			
City Longmont	State or Foreign Country CO	Country of Citizenship USA	
POST OFFICE ADDRESS			
Post Office Address 2020 Kentmere Drive	City Longmont	State or Country CO	Zip Code 80501
SIGNATURE OF INVENTOR		DATE:	
FULL NAME OF INVENTOR:			
First Name: Julie	Initial A.	Last Name Wurster	
RESIDENCE & CITIZENSHIP			
City Irvine	State or Foreign Country CA	Country of Citizenship USA	
POST OFFICE ADDRESS			
Post Office Address 13771 Typee Way	City Irvine	State or Country CA	Zip Code 92620
SIGNATURE OF INVENTOR		DATE:	
FULL NAME OF INVENTOR:			
First Name: Edward	Initial H.	Last Name Wang	
RESIDENCE & CITIZENSHIP			
City Dove Canyon	State or Foreign Country CA	Country of Citizenship Taiwan	
POST OFFICE ADDRESS			
Post Office Address 12 Mariposa	City Dove Canyon	State or Country CA	Zip Code 92679
SIGNATURE OF INVENTOR		DATE:	

FULL NAME OF INVENTOR:			
First Name: Thomas		Initial	Last Name Malone
RESIDENCE & CITIZENSHIP			
City Irvine	State or Foreign Country CA		Country of Citizenship USA
POST OFFICE ADDRESS			
Post Office Address 49 Trailwood	City Irvine	State or Country CA	Zip Code 92620
SIGNATURE OF INVENTOR		DATE:	



UNITED STATES
PATENT AND
TRADEMARK OFFICE

17465
RJB
RECORDED

FEBRUARY 24, 2003

PTAS

Under Secretary of Commerce For Intellectual Property and
Director of the United States Patent and Trademark Office
Washington, DC 20231
www.uspto.gov

ALLERGAN, INC.
ROBERT J. BARAN
2525 DUPONT DRIVE
IRVINE, CA 92612



102268182A

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 10/28/2002

REEL/FRAME: 013435/0567
NUMBER OF PAGES: 5

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:
ANDREWS, STEVEN W.

DOC DATE: 10/10/2002

ASSIGNOR:
WURSTER, JULIE A.

DOC DATE: 10/16/2002

ASSIGNOR:
WANG, EDWARD H.

DOC DATE: 10/17/2002

ASSIGNOR:
MALONE, THOMAS

DOC DATE: 10/16/2002

ASSIGNEE:
ALLERGAN, INC.
2525 DUPONT DRIVE
IRVINE, CALIFORNIA 92612

SERIAL NUMBER: 10256879
PATENT NUMBER:

FILING DATE: 09/27/2002
ISSUE DATE:

CPT 01/3

RECORDATION

11-01-2002

OFFICE OF PUBLIC RECORDS

PATE



2002 OCT 28 AM 10:57

102268182

To: The Commissioner of Patents and Trademark

FINANCE SECTION

RECEIVED

OCT 28 2002

Please record the attached original document(s) or copy(ies):

LEGAL/PATENTS

1. Submission Type:

X new

☐ Correction of PTO error (Reel /frame)☐ Corrective Document (Reel /frame)

2. Conveyance Type:

X Assignment

☐ License☐ Merger☐ Security Agreement☐ Change of Name☐ Other: _____

3.

CONVEYING PARTIES	
Names of Conveying Parties	Date of Conveyance
1. Steven W. Andrews	October 10, 2002
2. Julie A. Wurster	October 16, 2002
3. Edward H. Wang	October 17, 2002
4. Thomas Malone	October 16, 2002

☐ Additional Conveying Parties Attached

4.

10/31/2002 6TON11 00000089 010885 10256879

RECEIVING PARTIES

01 FC:0021

40.00 CH

Names of Receiving Parties

Name Allergan, Inc.

Address 1 2525 Dupont Drive

Address 2 Irvine, CA 92612

☐ Additional Receiving Parties Attached☐ If document is an assignment and the Receiving Party is not domiciled in the United States, an appointment of a Domestic Representative is attached.

5.

DOMESTIC REPRESENTATIVE NAME AND ADDRESS
Name
Address 1
Address 2

6.

CORRESPONDENCE NAME AND ADDRESS
Name Robert J. Baran (T2-7H)
Address 1 Allergan, Inc.
Address 2 2525 Dupont Drive, Irvine, CA 92612
Telephone 714-246-4669 and Fax 714-246-4249

7. Total Number of pages of the conveying document, including attachments: 5 pages

8.

APPLICATION NUMBER OR PATENT NUMBER (either; not both for same property)	
Application Number: <u>10/256,879</u> Filed: <u>September 27, 2002</u>	Patent Number
Application Number	Patent Number

9. If this document is being filed with a NEW patent application, enter the Docket No., Title of the Invention, and date of execution of the Assignment by the first inventor:

Title of Patent Application: _____
Docket No.: _____
Date of Execution by First Inventor: _____

10. Total Number of Properties Involved: 111. The fee amount (37 CFR §3.41) of \$ 40.00

☒ may be debited from our Deposit Account No. 01-0885.
☐ is enclosed as check no. _____

12. ☒ The Commissioner is authorized to deduct any additional fee amounts due in connection with the filing of this document from Deposit Account No. 01-0885.

To the best of my information and belief, all statements made herein are true, and any attached copy is a true copy of the original document.

Respectfully submitted,

SIGNATURE RJ Baran Date 10/11/02
TYPED or PRINTED NAME: Robert J. Baran REGISTRATION NO. 25,806

CERTIFICATE OF MAILING

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE WITH SUFFICIENT POSTAGE AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO: BOX ASSIGNMENT, COMMISSIONER FOR PATENTS, WASHINGTON, D.C. 20231 ON 10/21/2002 (Date)

Name of person making deposit: Bonnie FergusonSignature: Bonnie Ferguson Date 10/21/2002

ASSIGNMENT

WHEREAS I, Steven W. Andrews of Boulder County, Colorado; Julie A. Wurster of Orange County, California; Edward H. Wang of Orange County, California and Thomas Malone of Orange County, California (hereinafter referred to as ASSIGNOR), have invented and own a certain invention entitled: 3-(ARYLAMINO)METHYLENE-1,3-DIHYDRO-2H-INDOL-2-ONES AS KINASE INHIBITORS for which an application for Letters Patent of the United States was filed on September 27, 2002 under application number 10/256,879.

WHEREAS: Allergan, Inc., having its principal place of business at 2525 Dupont Drive, Irvine, CA 92612 (hereinafter referred to as ASSIGNEE), is desirous of acquiring the entire interest in, to and under said invention and in, to and under Letters Patent or similar legal protection to be obtained therefor in the United States and any corresponding foreign application filed or to be filed in any and all foreign countries.

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN: Be it known that in consideration of the payment by ASSIGNEE TO ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration, ASSIGNOR hereby sells, assigns and transfers to ASSIGNEE the full and exclusive right, title and interest to said invention in the United States and its territorial possessions and in all foreign countries to all Letters Patent or similar legal protection in the United States and its territorial possessions and in any and all foreign countries to be obtained for said invention by said applications or any continuation, divisional, renewal, substitute or reissue thereof or any legal equivalent thereof in a foreign country for the full term or terms for which the same may be granted.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment and sale;

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said applications, said invention and said Letters Patent and legal equivalents in foreign countries as may be known and accessible to ASSIGNOR and will testify as to the same in any interference or litigation related thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said applications, said invention and said Letters Patent and said equivalents thereof in any foreign country which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, I/We have hereunto set hand and seal this

October 10, 2002.

Steven W. Andrews
Steven W. Andrews

State of Colorado)
County of Boulder) ss:

On October 10, 2002 before me, Steven Andrews
personally appeared with Valid I.D. at the Mail Station
personally known to me (or proved to me on the basis of satisfactory evidence) to be the
person(s) whose name(s) is/are subscribed to the within instrument and acknowledged
to me that he/she/they executed the same in his/her/their authorized capacity(ies), and
that by his/her/their signature(s) on the instrument the person, or the entity upon
behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

[Signature]
Notary Public



IN WITNESS WHEREOF, I/We have hereunto set hand and seal this

October 16, 2002.

Julie A. Wurster
Julie A. Wurster

State of CALIFORNIA)
County of ORANGE) ss:

On OCT 16, 2002 before me, MARY LOU MCNOWN
personally appeared JULIE A. WURSTER
~~personally known to me (or proved to me on the basis of satisfactory evidence)~~ to be the
person(s) whose name(s) ~~is/are~~ subscribed to the within instrument and acknowledged
to me that he/~~she~~/they executed the same in his/~~her~~/their authorized capacity(ies), and
that by his/~~her~~/their signature(s) on the instrument the person, or the entity upon
behalf of which the person(s) acted, executed the instrument.

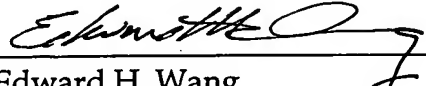
WITNESS my hand and official seal.



Mary Lou McNown
Notary Public

IN WITNESS WHEREOF, I/We have hereunto set hand and seal this

10/17, 2002.

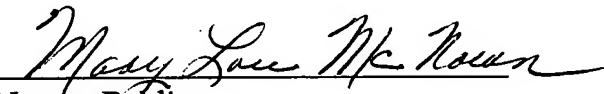

Edward H. Wang

State of CALIFORNIA)
County of ORANGE) ss:

On OCT. 17, 2002 before me, MARY LOU MCNOWN, Notary Public,
personally appeared EDWARD H. WANG
~~personally known to me~~ (or proved to me on the basis of satisfactory evidence) to be the
person(s) whose name(s) ~~is~~ are subscribed to the within instrument and acknowledged
to me that ~~he~~ ~~she~~ ~~they~~ executed the same in ~~his~~ ~~her~~ ~~their~~ authorized capacity(ies), and
that by ~~his~~ ~~her~~ ~~their~~ signature(s) on the instrument the person, or the entity upon
behalf of which the person(s) acted, executed the instrument.


WITNESS my hand and official seal.




Notary Public

IN WITNESS WHEREOF, I/We have hereunto set hand and seal this

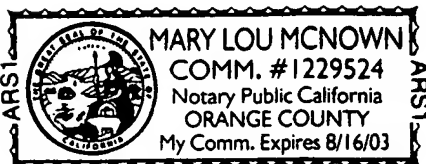
16, October, 2002.


Thomas Malone

State of CALIFORNIA)
County of ORANGE) ss:

On OCT. 16, 2002 before me, MARY LOU MCNOWN,
personally appeared THOMAS MALONE
~~personally known to me~~ (or proved to me on the basis of satisfactory evidence) to be the
person(s) whose name(s) ~~is~~ are subscribed to the within instrument and acknowledged
to me that ~~he~~ ~~she~~ ~~they~~ executed the same in ~~his~~ ~~her~~ ~~their~~ authorized capacity(ies), and
that by ~~his~~ ~~her~~ ~~their~~ signature(s) on the instrument the person, or the entity upon
behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.




Notary Public